

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**REQUEST FOR AN INITIALED COPY OF THE PTO-1449 FORMS
FILED WITH INFORMATION DISCLOSURE STATEMENTS SUBMITTED PRIOR
TO THE NOTICE OF ALLOWANCE**

Mail Stop Issue Fee
Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

The Applicant respectfully requests Examiner Nalven to consider and initial the attached PTO-1449 forms that were previously filed with the two (2) Information Disclosure Statements (IDS's) prior to the Notice of Allowance of February 13, 2007. The Applicant has not received copies of these PTO-1449 forms that bear the Examiner's initials.

For the Examiner's convenience, attached hereto are copies of (2) IDS's with the PTO-1449 forms that were not properly initialed by the Examiner. These documents were filed on the following dates:..

1.	IDS filed September 23, 2005 (Received on September 26, 2005)	PTO-1449	49-Pages
2.	IDS filed August 12, 2002 (Received on August 16, 2002)	PTO-1449	2-Pages
		Total	51-Pages

I hereby certify that this correspondence is being electronically transmitted to: Commissioner for Patents, Mail Stop Issue Fee, P. O. Box 1450, Alexandria, VA 22313-1450, GAU 2134, Attn: Examiner Nalven, on March 22, 2007.

/SPW/

Steven P. Wigmore, Reg. No. 40,447

The Applicant has also provided a post card receipt that evidences the receipt of these documents by the U.S. Patent and Trademark Office (USPTO).

Consideration and return of the initialed copies of the attached 50 Pages of PTO-1449 forms are respectfully requested. The Examiner is requested to return the initialed copy of the attached PTO-1449 forms via facsimile to the undersigned. The undersigned's facsimile number is 404-572-5134.

If there are any other issues remaining in this application that may be resolved by a telephone conference, the Examiner is invited to contact the undersigned at the following number in the Atlanta Metropolitan Area: 404-572-2884.

Respectfully submitted,

/SPW/

Steven P. Wigmore
Reg. No. 40,447

King & Spalding LLP
1180 Peachtree Street, N.E.
Atlanta, Georgia 30309-3521
404.572.4600
K&S Docket: 05456.105035

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SEP 23 2005

WHT 4/29/05

The U.S. Patent and Trademark Office official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

Date Mailed September 23, 2005

Applicant Robert David Graham
Appl. No.: 09/874,574
Filing Date: June 4, 2001
Title: System and Method for Preventing Network Misuse

Papers Submitted:

1. Information Disclosure Statement with authorization to charge Deposit Account No. 11-0980 the appropriate fee to consider the IDS (4 pages)
2. List of Information Disclosed by Applicant - Form PTO-1449 (49 pages)
3. Cited References (4 boxes)
4. Postcard

Attorney Steven P. Wigmore
Docket 05456.105035



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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Robert David Graham) Art Unit: 2134
Serial No.: 09/874,574) Examiner: Andrew L. Nalven
Filed: June 4, 2001) Docket No.: 05456.105035
For: System and Method for Preventing) Conf. No.: 5484
Network Misuse)

INFORMATION DISCLOSURE STATEMENT ("IDS")
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT THE APPROPRIATE
FEE TO CONSIDER THE IDS

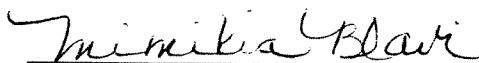
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement ("IDS") for consideration by the Examiner. The patents, publications or other information submitted for consideration by the Patent Office are listed on Form PTO-1449, attached hereto. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

Except as may be indicated below, all of the patents, publications or other information are in the English language, a copy of which is attached hereto and therefore, a concise explanation of each reference is not required. The following additional information is provided for the Examiner's consideration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 23, 2005.



Minikia D. Blair

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To assist the Examiner in reviewing this IDS, the Applicant has conducted a key word search of each U.S. patent and U.S. patent application publication listed on the attached Form PTO-1449. The Applicant used the following search terms in his query of the U.S. patents and U.S. patent application publications: (1) "computer\$"; (2) "intrusion\$"; (3) "detect\$"; (4) "(classif\$ or type or types)", (5) "(signature\$ or context\$)" and (6) "(store\$ or save or saved or record\$)." The Applicant used Boolean logic when performing this search in which each term was linked by the logical connector "AND." A search of these U.S. patents and U.S. patent application publications using the aforementioned terms and Boolean search logic yielded the following results (33 patents and 8 publications):

U.S. PATENTS
U.S. Patent No. 5,278,901 to Shieh et al.
U.S. Patent No. 5,414,833 to Hershey et al.
U.S. Patent No. 5,440,723 to Arnold et al.
U.S. Patent No. 5,557,742 to Smaha et al.
U.S. Patent No. 5,919,258 to Kayashima et al.
U.S. Patent No. 6,088,804 to Hill et al.
U.S. Patent No. 6,275,942 to Bernhard et al.
U.S. Patent No. 6,279,113 to Vaidya
U.S. Patent No. 6,282,546 to Gleichauf et al.
U.S. Patent No. 6,298,445 to Shostack et al.
U.S. Patent No. 6,301,668 to Gleichauf et al.
U.S. Patent No. 6,321,338 to Porras et al.
U.S. Patent No. 6,324,647 to Bowman-Amuah
U.S. Patent No. 6,347,374 to Drake et al.
U.S. Patent No. 6,353,385 to Molini et al.
U.S. Patent No. 6,405,318 to Rowland
U.S. Patent No. 6,405,364 to Bowman-Amuah
U.S. Patent No. 6,415,321 to Gleichauf et al.
U.S. Patent No. 6,460,141 to Olden
U.S. Patent No. 6,477,651 to Teal
U.S. Patent No. 6,484,203 to Porras et al.
U.S. Patent No. 6,487,666 to Shanklin et al.
U.S. Patent No. 6,499,107 to Gleichauf et al.
U.S. Patent No. 6,578,147 to Shanklin et al.
U.S. Patent No. 6,606,744 to Mikurak
U.S. Patent No. 6,647,400 to Moran
U.S. Patent No. 6,681,331 to Munson et al.

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Appl. No. 09/874,574
Information Disclosure Statement
Docket No. 05456.105035

U.S. PATENTS

- U.S. Patent No. 6,708,212 to Porras et al.
- U.S. Patent No. 6,711,615 to Porras et al.
- U.S. Patent No. 6,792,546 to Shanklin et al.
- U.S. Patent No. 6,816,973 to Gleichauf et al.
- U.S. Patent No. 6,839,850 to Campbell et al.
- U.S. Patent No. 6,912,676 to Gusler et al.

U.S. Patent Application Publications

- U.S. Patent Application Publication No. 2002/0032717 to Malan et al.
- U.S. Patent Application Publication No. 2002/0032793 to Malan et al.
- U.S. Patent Application Publication No. 2002/0035698 to Malan et al.
- U.S. Patent Application Publication No. 2002/0138753 to Munson
- U.S. Patent Application Publication No. 2002/0144156 to Copeland III et al.
- U.S. Patent Application Publication No. 2003/0088791 to Porras et al.
- U.S. Patent Application Publication No. 2003/0212903 to Porras et al.
- U.S. Patent Application Publication No. 2004/0010718 to Porras et al.

The Applicant submits that these search terms cannot be interpreted as providing emphasis on these terms when the claims are interpreted and construed by both the Examiner and the courts. The Applicant merely selected these terms to identify the prior art that may be more relevant than prior art that do not contain these search terms.

To further assist the Examiner, the Applicant has organized each of the U.S. Patents and U.S. Patent Application Publications according to classification. For the non-U.S. patent prior art, the Applicant has annotated Form PTO-1449 with the location of each document in a respective box.

The Applicant exerted this additional time and effort to help the Examiner so that if a patent is ever issued on this patent application, third parties will understand that the Applicant submitted the attached prior art on a good faith basis. The Applicant recognizes that the attached prior art is voluminous but it is not the Applicant's intent to "bury" any patents that maybe more pertinent than other ones. The Applicant does not want to dismiss any reference that he is aware of as cumulative in order to prevent third parties from arguing that the Applicant intentionally did not submit any prior art in an effort to deceive the Examiner and the Patent Office.

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This IDS is being filed under 37 C.F.R. § 1.97(b)(4), before the mailing of a first Office Action after the filing of a request for continued examination 37 C.F.R. § 1.114. No certification is required. No fee is believed to be due. However, should the Commissioner determine otherwise, he is authorized to charge such fees to Deposit Account No. 11-0980.

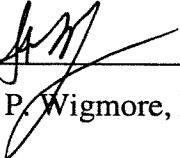
If the Examiner has any questions concerning this IDS, he is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the Patent Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 11-0980.

Enclosures:

1. Form PTO-1449
2. Foreign Patents
3. Publications
4. Postcard

Respectfully submitted,

KING & SPALDING LLP

By 

Steven P. Wigmore, Esq., Reg. No. 40,447

COPY

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.	FILING DATE					
05456.105035		09/874,574	June 4, 2001					
APPLICANT			GROUP					
Robert David Graham			2134					
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,696,486	12/9/97	Poliquin et al.	340			
	AB	6,353,385	3/5/02	Molini et al.	340			
	AC	5,353,393	10/4/94	Bennett et al.	345			
	AD	6,429,952	8/6/02	Olbricht	358			
	AE	5,748,098	5/5/98	Grace	370			
	AF	6,396,845	5/28/02	Sugita	370			
	AG	6,711,127	3/23/04	Gorman et al.	370			
	AH	5,734,697	3/31/98	Jabbarnezhad	379			
	AI	6,104,783	8/15/00	DeFino	379			
	AJ	6,226,372	5/1/01	Beebe et al.	379			
	AK	6,445,822	9/3/02	Crill et al.	382			
	AL	6,563,959	5/13/03	Troyanker	382			
	AM	6,618,501	9/9/03	Osawa et al.	382			
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	NAME			TRANSLATION YES NO.	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER			DATE CONSIDERED					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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APPLICANT	GROUP
Robert David Graham	2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	6,889,168	05/03/05	Hartley et al.	702		
	AW	5,210,704	5/11/93	Husseiny	702		
	AX	5,842,002	11/24/98	Schnurer et al.	703		
	AY	5,854,916	12/29/98	Nachenberg	703		
	AZ	5,999,723	12/7/99	Nachenberg	703		
	BA	6,067,410	5/23/00	Nachenberg	703		
	BB	5,864,803	1/26/99	Nussbaum	704		

FOREIGN PATENT DOCUMENTS

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Robert David Graham	2134	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BC	6,014,645	1/11/00	Cunningham	705		
BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
BE	5,675,711	10/7/97	Kephart et al.	706		
BF	5,907,834	5/25/99	Kephart et al.	706		
BG	5,649,185	7/15/97	Antognini et al.	707		
BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
BI	5,878,420	3/2/99	de la Salle	707		
BJ	5,899,999	5/4/99	De Bonet	707		
BK	6,144,961	11/7/00	de la Salle	707		
BL	6,161,109	12/12/00	Matamoros et al.	707		
BM	6,282,546	8/28/01	Gleichauf et al.	707		
BN	6,463,426	10/8/02	Lipson et al.	707		
BO	6,647,400	11/11/03	Moran	707		
BP	4,223,380	9/16/80	Antonaccio et al.	709		

FOREIGN PATENT DOCUMENTS

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					YES NO.

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		

FOREIGN PATENT DOCUMENTS

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Robert David Graham	2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CF	5,964,839	10/12/99	Johnson et al.	709		
CG	5,974,237	10/26/99	Shurmer et al.	709		
CH	5,974,457	10/26/99	Waclawsky et al.	709		
CH	5,983,270	11/9/99	Abraham et al.	709		
CJ	6,009,467	12/28/99	Ratcliff et al.	709		
CK	6,026,442	2/15/00	Lewis et al.	709		
CL	6,035,323	3/7/00	Narayen et al.	709		
CM	6,041,347	3/21/00	Harsham et al.	709		
CN	6,052,709	4/18/00	Paul	709		
CO	6,070,190	5/30/00	Reps et al.	709		
CP	6,073,172	6/6/00	Frailong et al.	709		
CQ	6,085,224	7/4/00	Wagner	709		
CR	6,119,165	9/12/00	Li et al.	709		
CS	6,195,687	2/27/01	Greaves et al.	709		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

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APPLICANT			GROUP					
Robert David Graham			2134					
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CT	6,377,994	4/23/02	Ault et al.		709		
	CU	6,397,245	5/28/02	Johnson, II et al.		709		
	CV	6,415,321	7/2/02	Gleichauf et al.		709		
	CW	6,434,615	8/13/02	Dinh et al.		709		
	CX	6,438,600	8/20/02	Greenfield et al.		709		
	CY	6,453,345	9/17/02	Trcka et al.		709		
	CZ	6,453,346	9/17/02	Garg et al.		709		
	DA	6,484,203	11/19/02	Porras et al.		709		
	DB	6,496,858	12/17/02	Frailong et al.		709		
	DC	6,519,647	2/11/03	Howard et al.		709		
	DD	6,708,212	3/16/04	Porras et al.		709		
	DE	6,711,615	3/23/04	Porras et al.		709		
	DF	6,718,383	4/6/04	Hebert		709		
	DG	2002/0032793	3/14/02	Malan et al.		709		
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	NAME			TRANSLATION	
							YES NO.	
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Robert David Graham	2134	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
	DW	5,475,839	12/12/95	Watson et al.	713		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

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Sheet 8 of 49

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham	GROUP 2134	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DX	5,557,742	9/17/96	Smaha et al.	713		
DY	5,586,260	12/17/96	Hu	713		
DZ	5,606,668	2/25/97	Shwed	713		
EA	5,623,600	4/22/97	Ji et al.	713		
EB	5,623,601	4/22/97	Vu	713		
EC	5,696,822	12/9/97	Nachenberg	713		
ED	5,761,504	6/2/98	Corrigan et al.	713		
EE	5,764,887	6/9/98	Kells et al.	713		
EF	5,764,890	6/9/98	Glasser et al.	713		
EG	5,787,177	7/28/98	Leppek	713		
EH	5,796,942	8/18/98	Esbensen	713		
EI	5,798,706	8/25/98	Kraemer et al.	713		
EJ	5,812,763	9/22/98	Teng	713		
EK	5,815,574	9/29/98	Fortinsky	713		
EL	5,822,517	10/13/98	Dotan	713		
EM	6,851,057	02/01/05	Nachenberg	713		

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APPLICANT	GROUP
Robert David Graham	2134

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EN	5,826,013	10/20/98	Nachenberg	713		
EO	5,828,833	10/27/98	Belville et al.	713		
EP	5,832,208	11/3/98	Chen et al.	713		
EQ	5,832,211	11/3/98	Blakley, III et al.	713		
ER	5,838,903	11/17/98	Blakely, III et al.	713		
ES	5,845,067	12/1/98	Porter et al.	713		
ET	5,848,233	12/8/98	Radia et al.	713		
EU	5,864,665	1/26/99	Tran	713		
EV	5,875,296	2/23/99	Shi et al.	713		
EW	5,892,903	4/6/99	Klaus	713		
EX	5,919,257	7/6/99	Trostle	713		
EY	5,919,258	7/6/99	Kayashima et al.	713		
EZ	5,925,126	7/20/99	Hsieh	713		
FA	5,931,946	8/3/99	Terada et al.	713		
FB	5,940,591	8/17/99	Boyle et al.	713		
FC	6,886,102	04/26/05	Lyle	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
	FR	5,905,859	05/18/99	Holloway et al.	713		

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	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
	GH	6,279,113	8/21/01	Vaidya	713		

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	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichauf et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
	GV	6,477,651	11/5/02	Teal	713		

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	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
	HJ	6,775,780	8/10/04	Muttik	713		

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	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
	HY	5,398,196	3/14/95	Chambers	714		

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HZ	5,440,723	8/8/95	Arnold et al.	714		
IA	5,452,442	9/19/95	Kephart	714		
IB	5,649,095	7/15/97	Cozza	714		
IC	5,765,030	6/9/98	Nachenberg et al.	714		
ID	5,964,889	10/12/99	Nachenberg	714		
IE	6,006,016	12/21/99	Faigon et al.	714		
IF	6,016,553	1/18/00	Schneider et al.	714		
IG	6,021,510	2/1/00	Nachenberg	714		
IH	6,029,256	2/22/00	Kouznetsov	714		
II	6,035,423	3/7/00	Hodges et al.	714		
IJ	6,094,731	7/25/00	Waldin et al.	714		
IK	6,108,799	8/22/00	Boulay et al.	714		
IL	6,173,413	1/9/01	Slaughter et al.	714		
IM	6,912,676	06/28/05	Gusler et al.	714		

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	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
	JB	5,872,978	2/16/99	Hoskins	717		
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	JC	5,950,012	9/7/99	Shiell et al.	717		
	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
	JI	6,477,585	11/5/02	Cohen et al.	719		
	JJ	6,721,806	4/13/04	Boyd et al.	719		
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JK		WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.	
JL		WO 02/006928	01/24/02	PCT	vCIS, Inc.	
JM		WO 00/054458	09/14/00	PCT	Psionic Software, Inc.	
JN		WO 02/056152	07/18/02	PCT	Psionic Software	
JO		0 985 995	08/13/03	EP	International Business Machines Corp.	
JP		WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.	
JQ		WO 00/02115	01/13/00	PCT	PRC Inc.	
JR		WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson	
JS		WO 99/50734	10/07/99	PCT	AT&T Corporation	
JT		WO 98/41919	09/24/98	PCT	Trend Micro, Inc.	
JU		WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.	
JV		0 636 977	05/23/01	EP	Chambers	
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KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
KE	International Search Report for PCT/US01/13769 of March 8, 2002
KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
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KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
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KJ	International Search Report for PCT/US02/02917 of August 8, 2002
KK	International Search Report for PCT/US03/00155 of May 15, 2003
KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
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KP		Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172						
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KV		Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610						
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KX		Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224						
KY		Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40						
KZ		Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48						
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LB		Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9
LC		Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280
LD		Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87
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05456.105035	09/874,574	June 4, 2001
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	YES	NO
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

UK		Cohen et al., Report of the Reporting and Analysis Track, Detection of Malicious Code, Intrusions, and Anomalous Activities Workshop, February 22-23, 1999, pp. 1-47						
UL		Garofalakis et al., Network Mining and Analysis: The Nemesis Project, Bell Labs, Lucent Technologies, No Date, pp. 1-12						
UM		RealSecure ICEcap Manager User Guide Version 3.6, Internet Security Systems, Inc., 1998-2002, pp. 1-228						
UN		Cuppens, Cooperative Intrusion Detection, Date Unknown, pp. 1-10						
UO		Mukherjee et al., Network Intrusion Detection, IEEE Network, May/June 1994, pp. 26-41						
UP		Machlis, Net Monitoring Tools Gain Real-Time Alerts, April 14, 1997, http://www.computerworld.com , pp. 1-12						
UQ		OmniGuard/ITA Intruder Alert, AXENT Technologies, Inc., November 17, 2004, http://www.web.archive.org , pp. 1-10						
UR		NetRanger Overview, Chapter 1, Date Unknown, pp. 1-16						
US		Sutterfield, Large-Scale Network Intrusion Detection, 1997, WheelGroup Corporation, pp. 1-10						
UT		Kumar et al., An Application of Pattern Matching in Intrusion Detection, Technical Report No. CSD-TR-94-013, June 17, 1994, Purdue University, pp. 1-55						
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UV		Perrochon et al., Event Mining with Event Processing Networks, Date Unknown, Stanford University, pp. 1-4						

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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	UW	Gruschke, Integrated Event Management: Event Correlation Using Dependency Graphs, presented at DSOM 1998, University of Munich, pp. 1-12					
	UX	Bass, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105					
	UY	Bass et al., A Glimpse into the Future of ID, Date Unknown, Usenix, pp. 1-10					
	UZ	LaPadula, State of the Art in Anomaly Detection and Reaction, July 1999, Mitre Corporation, pp. 1-36					
	VA	Rationalizing Security Events with Three Dimensions of Correlation, Date Unknown, NetForensics, Tech Brief, pp. 1-6					
	VB	Jou et al., Design and Implementation of a Scalable Intrusion Detection System for the Protection of Network Infrastructure, Date Unknown, MCNC, pp. 1-15					
	VC	Caldwell, Event Correlation: Security's Holy Grail?, August 1, 2002, GuardedNet, pp. 1-21					
	VD	Metcalf et al., Intrusion Detection System Requirements, September 2000, Mitre Corporation, pp. 1-33					
	VE	Jou et al., Architecture Design of a Scalable Intrusion Detection System for the Emerging Network Infrastructure, Technical Report CDRL A005, April 1997, MCNC, pp. 1-42					
	VF	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162					
	VG	RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92					
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
	VH		Internet Scanner SAFE SAFEsuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158					
	VI		Internet Scanner 3.3 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-119					
	VJ		Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34					
	VK		20 th National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44					
	VL		EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11					
	VM		Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89					
	VN		Lunt et al., Detecting Intruders in Computer Systems, 1993 Conference on Auditing and Computer Technology, SRI International, pp. 1-17					
	VO		Network ICE Products - ICEcap, Date Unknown, pp. 1-2					
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	VS		Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51					
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

VT		"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 1997-2000; September 2000, pgs. 1-64.
VU		"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
VV		"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
VW		"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
VX		"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
VY		"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
VZ		"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
WA		"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.
WB		"Database Scanner™, User Guide," Version 3.0.1, , © 1999 by Internet Security Systems, Inc., pgs. 1-164.
WC		"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
WD		"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
WE		"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
WF		"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.
WG		"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.
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APPLICANT Robert David Graham		GROUP 2134
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WH	“Internet Scanner™, User Guide,” Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.				
WI	“Real Secure, Console User Guide,” Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.				
WJ	“Internet Scanner™, User Guide,” Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.				
WK	“SAFEme Decisions, User Guide,” Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.				
WL	“Real Secure™, Console User Guide,” Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.				
WM	“SAFEme DECISIONS, User Guide,” Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.				
WN	“System Scanner, User Guide,” Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.				
WO	“System Scanner, User Guide,” Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.				
WP	“System Scanner™, User Guide,” Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.				
WQ	Internet Security Systems, Inc., “Introduction to RealSecure Version 5.0, The Industry’s Only Integrated Host-Based and Network-Based Intrusion Detection System”, August 22, 2000, pp. 1-47				
WR	Internet Security Systems, Inc., “RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0”, August 2003, pp. 1-86				
WS	Internet Security Systems, Inc., “RealSecure Console User Guide Version 3.1”, June 1999, pp. 1-98				
WT	Internet Security Systems, Inc., “RealSecure Version 2.0”, April 1998, pp. 1- 154				
WU	Internet Security Systems, Inc., “Enhanced Dynamic Threat Protection via Automated Correlation and Analysis”, an ISS White Paper, 2002, pp. 1-14				

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05456.105035	09/874,574	June 4, 2001
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	WV	6,871,284	03/22/05	Cooper et al.	713		
	WW	5,872,915	02/16/99	Dykes et al.	713		

FOREIGN PATENT DOCUMENTS

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						YES NO

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WX		Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
WY		Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
WZ		Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
XA		Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
XB		Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
XC		Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
XD		Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23
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The U.S.P.T.O. official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

Applicant	Kavaler
Serial No.	09/874,574
Title	System and Method for Preventing Network Misuse
Papers Submitted:	Information Disclosure Statement; 2 pgs. PTO-1449 form; Fifteen (15) cited references
Attorney	WSP/llo
Date Mailed	August 12, 2002
Docket	05456.105035



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Kavaler)
Serial No.: 09/874,574) Art Unit: 2635
Filed: June 4, 2001)
For: System and Method for Preventing)
Network Misuse)

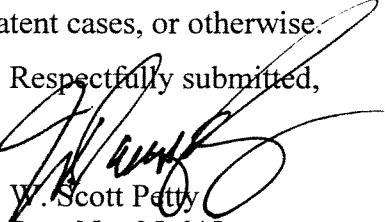
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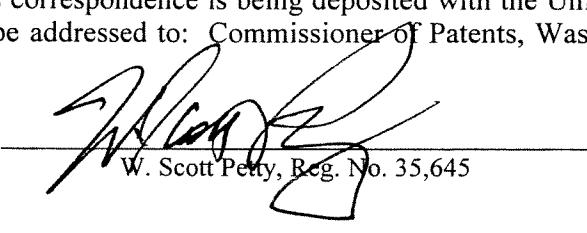
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The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

W. Scott Petty
Reg. No. 35,645

King & Spalding
45th Floor, 191 Peachtree Street, N.E.
Atlanta, GA 30303
404.572.4600
K&S Docket: 05456.105035

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W. Scott Petty, Reg. No. 35,645

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APPLICANT Network Ice Corporation	GROUP 2635
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,557,742	09/17/96	Smaha et al.			
AB	5,278,901	01/11/94	Shieh et al.			
AC	5,345,595	09/06/94	Johnson et al.			
AD	5,414,833	05/09/95	Hershey et al.			
AE	5,475,839	12/12/95	Watson et al.			
AF	6,026,442	02/15/00	Lewis et al.			
AG	6,016,553	01/18/00	Schneider et al.			
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AI	5,881,236	03/09/99	Dickey			
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AK	5,764,890	06/09/98	Glasser et al.			

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AM					
AN					
AO					

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AP	Aurobindo Sundaram, "An Introduction to Intrusion Detection," Copyright 1996, published at www.acm.org/crossroads/xrds2-4/intrus.html , pp. 1-12.
AR	Didier Samfat, Refik Molva, "IDAMN: An Intrusion Detection Architecture for Mobile Networks," IEEE Journal on Selected Areas in Communications, Vol. 15, No. 7, September 1997, pp. 1373-1380.
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APPLICANT	GROUP
Network Ice Corporation	2635

U.S. PATENT DOCUMENTS

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BB	4,819,234	04/04/89	Huber			
BC						
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BR		
BS		
BT		

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